

TRANSMITTAL LETTER  
(General - Patent Pending)

223 2203  
Docket No.  
96-059X

RECEIVED  
APR 12 2001  
Technology Center 2100

In Re Application Of: JAY S. WALKER et al.

Serial No.  
09/694,191

Filing Date  
October 23, 2000

Examiner  
Not Yet Assigned

Group Art Unit  
2763

Title: METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER

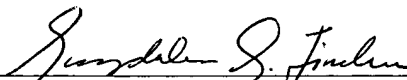
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Amendment Transmittal Letter (large entity) 1 sheet; (in duplicate);  
Preliminary Amendment, 6 sheets; and  
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: April 6, 2001

Magdalena M. Fincham  
Agent for Applicants  
PTO Registration No. 46,085  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905  
22927

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CC:

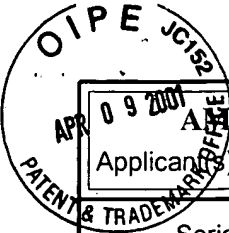
I certify that this document and fee is being deposited on April 6, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): JAY S. WALKER et al.

Docket No.  
96-059X

Serial No.  
09/694,191

Filing Date  
October 23, 2000

Examiner  
Not Yet Assigned

Group Art Unit  
2763

Invention: METHOD AND DEVICE FOR GENERATING A SINGLE-USE FINANCIAL ACCOUNT NUMBER

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APR 12 2001  
Technology Center 2100

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	11 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	2 -	3 =	0 x	\$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0271  
A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. 1.16.
  - ☒ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: April 6, 2001

Magdalena M. Fincham  
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I certify that this document and fee is being deposited on April 6, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
Signature of Person Mailing Correspondence
Veronika S. Leliever
Typed or Printed Name of Person Mailing Correspondence

CC:



PATENT

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APR 12 2001  
Technology Center 2100

Attorney Docket No.: 96-059X

*Handwritten:* #6/Per A  
mE  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walker et al.

Application No.: 09/694,191

Filed: October 23, 2000

For: METHOD AND DEVICE FOR  
GENERATING A SINGLE-USE  
FINANCIAL ACCOUNT NUMBER

Customer No. 22927

) Group Art Unit: 2763

) Examiner: not yet assigned

) **PRELIMINARY AMENDMENT**

) Attorney Docket No. 96-059X

) Walker Digital Corporation

) Customer No. 22927

) Five High Ridge Park

) Stamford, CT 06905-1326

) (203) 461-7000 /voice

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 6, 2001.

Dated: 04/ 6/2001 By: *Veronika S. Leliever*

Veronika S. Leliever

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Prior to examination, entry of the following amendments into the above-identified application is respectfully requested.

**A M E N D M E N T**

Please amend the above-identified application as follows:

**IN THE SPECIFICATION:**

Please amend the specification as follows:

At page 1, after the title of the invention and before the heading "Background of the Invention" please insert "This application is a continuation of U.S. Patent Application No. 08/919,339, filed August 28, 1997 for "Method and Device for generating a single-use financial account number" issued on December 19, 2000 as U.S. Patent No. 6,163,771.

**IN THE CLAIMS:**

Please **CANCEL** Claims 1 – 14, 16 – 17, and 19 – 25 without prejudice or disclaimer.

Please **AMEND** Claims 15 and 18 as follows:

15. [AMENDED] An apparatus, comprising:
- a processing unit;
  - an input device in communication with said processing unit, said input device operable to input a second account identifier for use in place of a first account identifier thereto;
  - a transmitting/receiving device in communication with said processing unit, said transmitting/receiving device operable to (i) transmit the second account identifier for verification thereof and (ii) receive information regarding authorization of the second account identifier; and
  - an output device in communication with said processing unit, said output device operable to output the information regarding authorization of the second account identifier, wherein the second account identifier is a single-use account identifier specific to a transaction.